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Perinatal plasticity of the infant immune system

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Abstract

The gestation period of mammalian species and the developmental stage of their offspring at birth vary greatly. Regardless of this, all mammalian offspring must survive the fetal-to-postnatal transition from intrauterine dependence on the mother to postnatal independence. A major challenge in this context is the allocation of limited energy resources between tissue development and growth, energy-intensive responses to infection and the establishment of host-microbial homeostasis. For a long time, it was thought that early-life immunity was immature and therefore dysfunctional. It is only recently that we have begun to understand, at a mechanistic level, how closely perinatal immunity is tailored to meet the demands and mediate the transition to postnatal life. This adaptation requires a high degree of temporal plasticity, which integrates developmental trajectories of immune cells, changes in barrier permeability, effects of the microbiota and the waning contribution of maternal immunity. This review analyses exemplary evidence on perinatal immune plasticity and its underlying mechanisms and consequences with a particular focus on myeloid cells and barrier immunity. It synthesizes models as to how plasticity may be induced, maintained and therapeutically modulated, even beyond infancy, while

32 highlighting instructive interspecies differences and commonalities as well as current research
33 needs.

34

35 **[H1] Introduction**

36 Over the course of evolution, mammalian species with varying gestation periods and different
37 developmental stages of the newborn have developed, ranging from less than two weeks in
38 opossum species to almost two years in elephants¹. This is linked to differences in body size, litter
39 size and rearing conditions. However, all mammalian offspring have to manage the transitions
40 from intrauterine development with complete dependence on maternal physiology, followed by
41 the neonatal phase, which remains intertwined with maternal immunity and physiology, to
42 eventually full independence. During these transitions, the perinatal immune system must enable
43 protection against invading pathogens, while allowing microbial colonization, as well as tissue
44 growth and diversification. The successful management of these transitions is closely linked to
45 the ability to allocate limited energy resources between thermogenesis, immunity and organ
46 growth, including the development of complex anatomical microstructures². This is particularly
47 evident at barrier tissues, which are central to the exchange of nutrients and oxygen and
48 temperature regulation, but also need to rapidly establish protective measures against invading
49 pathogens and environmental threats³⁻⁵. We understand the perinatal period as a dedicated time
50 window in species with different gestational length and developmental stages at birth. Whereas
51 rodents, such as mice, are considered to be more immature at birth (altricial), other species such
52 as pigs and humans are born relatively mature (precocial)⁶. For the purpose of this review, we
53 focus on immune phenomena between embryonic day (E) 16.5 in mice and gestational week (GW)
54 24 in humans, when organogenesis is largely completed, to the weaning period (postnatal day (P)
55 21 in mice, and 6 months after birth in humans).

56

57 Within this period, the perinatal immune system co-evolves with the fetus transitioning to
58 independent neonatal life. Perinatal immunity has long been regarded as immature, gradually
59 maturing during postnatal development. However, a unidirectional developmental process
60 starting at birth clearly fails to do justice to immune regulation needed to balance development,
61 tolerance induction and immune defense. Instead, immune plasticity in early life is generated by
62 a multi-faceted interplay of tissue-intrinsic and tissue-extrinsic events, including the co-
63 development of anatomical tissue niches and their highly adapted immune compartments, barrier

64 permeability and closure, colonization with commensal microorganisms requiring immune
65 tolerance, and gradually decreasing roles for maternal factors, which can include maternal cells
66 antibodies and microbiota, but also molecules such as cytokines and metabolites^{2,7} (**Figure 1**). This
67 interplay is further layered by clinical interventions at birth, early-life vaccinations or medication,
68 which can leave prolonged or even lifelong footprint on the microbiota and immune system
69 (**Figure 1, Box 1**). It should be emphasized that the plentiful mechanistic data related to successful
70 and failing perinatal transition, which have recently been generated in mice, are often missing in
71 humans. Corresponding concepts in humans are thus often speculative and based on
72 circumstantial evidence. We define perinatal immune plasticity as a process where each immune
73 cell is dynamically remodeled and fine-tuned by developmental and environmental cues to closely
74 fit its tissue niche. This process supports dedicated organ development and infection resistance.
75 Hence, immune cell plasticity is formed by different layers, including 1) ontogeny, 2) tissue-niche
76 factor, 3) exposure to environmental factors such as microbiota and its metabolites, or 4)
77 imprinting by immune challenges and potential infectious early-life pathogens. Accordingly, this
78 review explores recent advances and limitations in our understanding of the mechanisms driving
79 perinatal immune plasticity and its implications for lifelong immunity- including information on
80 species discrepancies, if available.

81

82 **[H1] Immune cell development**

83 Immune cell development is initiated early during embryogenesis, followed by a defined pattern
84 of ontogenetically distinct progenitors which are generated from a sequence of tightly controlled
85 hematopoietic waves during prenatal development. At birth, cues related to the birth process
86 itself and influences of the novel internal and external environment induce mosaics of progenitors
87 from different ontogenies and heterogenous immune cell populations with different function and
88 turn-over rates in structurally and functionally defined tissue niches. Taking advantage of
89 examples from the myeloid system, we highlight how this ontogenetic heterogeneity emerges,
90 which factors drive the migration of progenitors into their host tissues during development and
91 how the cells specify once they have entered their tissue niche.

92

93

94

95 **[H2] Variability of Immune System Development in Newborn Children**

96 The precise characterisation of developmental immune system trajectories in newborn children
97 is hampered by substantial interindividual variability related to birth transition characteristics, e.g.
98 exact pregnancy duration, preexisting maternal immunity, day-time of delivery, birth mode and
99 labour duration, etc., which influence environmental exposure and composition of the early
100 microbiota⁸. Moreover, volume and quality of blood samples have hampered analytical precision
101 immunophenotyping at the beginning of life. However, recent assay miniaturisation has enabled
102 more robust analyses revealing that the neonatal immune system develops – despite overall
103 considerable variability – along a shared trajectory that is determined largely by postnatal age,
104 and not e.g. by sex or by season. Notable commonalities across infants of divergent
105 postconceptional age were similar patterns in frequencies of circulating neutrophils and T cells,
106 and activation patterns of complement, interferon and interleukin (IL)-10 pathways⁹. Other
107 unique neonatal traits relate to enhanced oxidative phosphorylation and changes in complement
108 activation^{10,11}. The extreme longitudinal immune cell activation dynamics in the perinatal
109 developmental corridor is illustrated by the observation that leukocytes from cord blood differ
110 from those in early-life peripheral blood⁹. The functional implication of kinetic modulation in
111 neutrophil and lymphocyte counts is indicated by the association of low neutrophil-to-lymphocyte
112 ratios at birth with an increased risk for later sepsis¹².

114 **[H2] Early plasticity of immune cell ontogeny**

115 The controlled patterning of the developing hematopoietic system prepares the organism for the
116 environmental changes that occur at birth, and the subsequent adaptation to extra-uterine life.
117 This patterning is best understood in mice, and will be discussed in the following paragraph.
118 Primitive hematopoietic progenitors originate from the extraembryonic yolk sac at embryonic day
119 (E) 7.5 and supply the embryo with erythrocytes and primitive macrophages (M ϕ), which are
120 transient in the embryo¹³ (**Figure 2**). Shortly thereafter, the yolk sac switches to transient
121 definitive hematopoiesis and produces erythromyeloid progenitors (EMPs)¹⁴ (**Figure 2**), which are
122 a major source of fetal immune cells, including erythrocytes, M ϕ , granulocytes, monocytes, mast
123 cells, megakaryocytes and $\gamma\delta$ T cells^{15–18}. In parallel, hematopoietic stem cells (HSCs) emerge from
124 hemogenic endothelium in the aorto-gonado-mesonephros (AGM) region^{19,20} and other potential
125 sites such as the placenta and umbilical cord, with subsequent colonization of the fetal liver²¹
126 (**Figure 2**). The transition from EMP-derived blood cells towards HSC-derived blood cells begins at

127 around E14.5 and is largely completed in the mouse by the time of birth¹⁷. Perinatally, HSCs move
128 from the fetal liver to the opening bone cavity, establishing the bone marrow (BM) (**Figure 2**) as
129 the unique postnatal site for HSCs and the lifelong source for hematopoietic cells²².

130

131 Fetal-derived immune cells persist in mouse postnatal tissues, including most tissue-resident M ϕ ,
132 B1a cells and $\gamma\delta$ T cells^{23,24}. Other cells such as innate lymphocytes, B1b cells and resident memory
133 T cells (T_{RM}) originate from HSC-derived fetal progenitors and seed tissues and lymphoid organs
134 prenatally^{25,26}. Both prenatal and postnatal HSCs generate conventional $\alpha\beta$ T cells, which migrate
135 from the fetal liver and BM to the thymus for selection and maturation²⁷. Similarly, B cells develop
136 in the fetal liver and BM. In the mouse, most prenatally derived B cells and T cells have a
137 regulatory, innate-like phenotype, whereas postnatal lymphopoiesis supports the generation of
138 *bona fide* effector lymphocytes. These lymphocytes of distinct origins mix in a coordinated
139 manner across perinatal tissues²⁷⁻²⁹, equipping the neonate with a unique lymphocyte landscape
140 that enables tissue growth and differentiation in parallel with immune tolerance to commensals
141 and tissue immunity against pathogens. The perinatal tissue patterns of lymphocytes depend on
142 tissue-intrinsic cues, as well as perinatal environmental factors, such as breast feeding, mode of
143 birth and the microbiota.

144 Similarly, the tissue-specific ontogeny of tissue-resident M ϕ , which is established around birth in
145 mice, is an important component of immune cell plasticity in perinatal organs. Prenatally, all
146 organs are colonized by EMP-derived M ϕ progenitors in specific time windows³⁰, for example
147 giving rise to microglia in the central nervous system (CNS) parenchyma or Kupffer cells in the
148 liver³¹⁻³³ (**Figure 2**). EMPs colonize the fetal liver via the establishing blood circulation between
149 E8.5-E10.5³⁴, where they can give rise to further M ϕ progenitors, but also circulating blood cells
150 such as monocytes and granulocytes¹⁷ (**Box 2**). It was previously suggested that EMP-derived fetal
151 monocytes give rise to several tissue-resident M ϕ populations, such as alveolar M ϕ in the lung
152 and Langerhans cells in the epidermis³⁵ (**Figure 2**). However, recent advances in cell tracing
153 technologies suggest that there might be only a minor contribution of fetal monocytes as direct
154 progenitors to fetal tissue-resident M ϕ ³⁶. Furthermore, lineage tracing by barcoding suggested
155 that M ϕ populations, including Kupffer cells and alveolar M ϕ , develop from a partially shared M ϕ
156 progenitor, for example originating from fetal liver EMPs. In conventional laboratory mice raised
157 under specific pathogen-free (SPF) conditions, most tissue-resident M ϕ are maintained by
158 endogenous proliferation and self-renewal throughout life³⁷. However, some tissue-resident M ϕ

159 populations undergo fundamental changes around the time of birth. This is particularly evident in
160 the replacement of fetal-derived M ϕ by HSC-derived progenitors in defined anatomical niches in
161 the first few weeks of life. For example, in the gut, lamina propria M ϕ are largely replaced by
162 circulating monocytes, whereas muscularis M ϕ remain of fetal origin^{38,39} (**Figure 2**). Though, a
163 black and white scheme cannot be applied here. This is exemplified by a recent publication
164 demonstrating the existence of long-lived tissue-resident M ϕ in the *lamina propria* which are of
165 fetal origin and not replaced by monocytes⁴⁰. Similarly, dermal interstitial M ϕ are replaced by
166 circulating progenitors, whereas sensory nerve-associated M ϕ in the dermis are not^{41,42}.
167 Epidermal Langerhans cells originate from EMP-derived fetal M ϕ progenitors for a life time,
168 whereas Langerhans cells in the oral mucosa are postnatally replaced by HSC-derived myeloid
169 progenitors, generating site-specific Langerhans cell diversity⁴³. In the postnatal CNS, microglia
170 and perivascular, leptomeningeal and intraventricular M ϕ remain of fetal origin, whereas stromal
171 choroid plexus M ϕ and subsets of dura M ϕ are replaced by circulating monocytes^{44,45}.
172 Consequently, even M ϕ at similar anatomical sites can differ markedly in their ontogeny in mice.

173

174 In many cases, monocyte-derived M ϕ are short-lived and are continuously replaced throughout
175 the life span, while fetal-derived M ϕ decline and become a minority population within these
176 tissues. However, M ϕ exchange rates differ markedly at the subset level. As an example, in the
177 mouse dermis, M ϕ half-life can range from 2 weeks to more than 56 weeks, and around 40% of
178 M ϕ seem not to be replaced at all⁴⁶. In some tissues, including serous cavities and the heart, fetal-
179 derived M ϕ are gradually replaced by HSC-derived progeny after birth, and these HSC-derived
180 M ϕ can even maintain their population by endogenous self-renewal without continuous
181 replenishment from the BM^{47,48} (**Figure 2**).

182 In mice, perinatal remodelling of M ϕ ontogeny is an important adaptation to extrauterine life,
183 ensuring the replacement of exhausted fetal-derived subsets and the specification of progenitors
184 to highly dynamic developing anatomical niches (**Figure 2**). The disappearance of fetal-derived
185 M ϕ could be connected to the emergence of the commensal microbiota and their metabolites,
186 together with the necessity of immune tolerance, which is an energy-consuming process.
187 However, even M ϕ in organs without direct contact with the commensal microbiota, such as the
188 microglia of the CNS parenchyma, respond to microbial metabolites during perinatal
189 development⁴⁹, but do so without substantial perinatal replacement by monocytic
190 progenitors^{50,51}. Similarly, epidermal Langerhans cells are in close contact with commensal

191 bacteria on the skin and are key drivers of tolerance induction^{52,53} but they remain fetal-derived
192 without major input from circulating progenitors under physiological conditions^{35,54}. Again, the
193 situation remains less clear in humans. For example, the degree of donor-derived Langerhans cell
194 chimerism is generally below 10% on day 28 after sex-mismatched stem cell transplantation with
195 non-ablative conditioning, suggesting that the population size is maintained by humans largely
196 through self-replication⁵⁵. Furthermore, accessing proliferative activity and heavy carbon birth
197 dating of human microglia revealed an endogenous proliferation and turn-over without a major
198 contribution of peripheral monocytes^{56,57}. One potential explanation for the persistence of fetal-
199 derived M ϕ in specific tissues such as CNS parenchyma and skin epidermis could be the limited
200 accessibility of these tissue niches for circulating progenitors. As prominent examples, the brain
201 and the epidermis have been shown to be partially 'sealed' from the blood stream, by the blood
202 brain barrier along the CNS meninges and vasculature and the basement membrane separating
203 epidermis and dermis^{58,59}. However, this explanation would not account for Kupffer cells in the
204 liver, which nevertheless remain largely fetal-derived throughout life. Kupffer cells are unique
205 with respect to their location within the liver sinusoids and their transcriptional programme. It is
206 conceivable that the territorial behaviour of fetal-derived Kupffer cells prevents BM-derived cells
207 from invading the specific tissue niche. Notably, a small portion of Kupffer cells originate from
208 definitive hematopoiesis in the first postnatal week in mice, when the liver increases substantially
209 in size⁶⁰. This could confer perinatal plasticity on the liver's immune system. Thus alternative
210 mechanisms appear to influence M ϕ renewal in specific organs, including the presence or absence
211 of tissue-resident progenitor pools^{61,62}, or the metabolic capacity of fetal-derived M ϕ in
212 combination with, for example, higher levels of tissue niche factors that could allow fetal-derived
213 M ϕ to persist.

214

215 Similarly to M ϕ , dendritic cells (DCs) and in particular conventional dendritic cells (cDCs) develop
216 from different progenitor waves. In mice and humans, adult cDCs originate from the HSC-derived
217 monocyte–dendritic cell progenitor (MDP) in the BM before they develop via the common
218 dendritic cell progenitor (CDP) to pre-cDCs and diverge into the two described subsets of cDC1
219 and cDC2⁶³. These subsets are characterized by unique surface markers and different tissue
220 distribution patterns, and have a bias towards initiating either a T_H1 cell response (cDC1) or a T_H2
221 cell response (cDC2) in adults. Earlier studies in mice demonstrated a biased response of neonatal
222 cDCs through epigenetic regulation and apoptosis after recall, suggesting that fetal-derived cDC

223 compartments are functionally polarized towards T_H2 cell responses until weaning⁶⁴. However,
224 the main subset in murine tissues is cDC1⁶⁵ and the cytokine. In contrast, profiles from blood
225 mononuclear cell cultures suggest a more balanced cDC1/cDC2 status in human neonates⁶⁶. In
226 mice, the origin of the perinatal cDC2s is distinct. Whereas perinatal cDC2s originate from fetal-
227 derived common lymphoid progenitors (CLPs), adult cDC2s originate from MDPs⁶⁷. The functional
228 consequences of this different ontogenetic origins might be nuanced and depend on location.
229 There is evidence that fetal-derived cDC2 have a strong regulatory bias on T cell induction⁶⁸, other
230 studies suggest that perinatal tissue niche imprinting rather than ontogeny may account for these
231 differences as ontogenetically distinct cDC2s in early life behaved transcriptionally and
232 functionally similar to adult cDC2s *ex vivo*⁶⁷. Subsequent work has suggested a tissue-specific
233 ontogenetic layering of cDC2s in barrier tissues, where large numbers of these cells remain of
234 lymphoid origin even until adulthood^{69,70}. How this integrates into a larger picture of the neonatal
235 DC function and could potentially be harnessed for better informed intervention strategies
236 remains a topic of interest and requires further studies, particularly in humans, where the
237 kaleidoscope of DC functions is still incompletely understood.

238 In regard to mast cells, work over the last years has demonstrated layered ontogeny in mice. It
239 was originally assumed that mast cells originated exclusively from HSCs and were continuously
240 renewed by circulating progenitors. The picture is now more complex: Mast cells originate from
241 EMPs and remain of EMP origin in connective tissues, whereas mucosal mast cells are replaced
242 by HSCs after birth^{15,71}. In contrast, there is no direct evidence of a layered ontogeny in human
243 mast cells. However, studies on BM transplantation have shown that the recipient's mast cells are
244 gradually replaced by cells from donor BM⁷² and high-throughput transcriptomic profiling of fetal
245 immune cells has revealed that the first mast cells appear already during fetal development⁷³.

246 Accordingly, the layered ontogeny of immune cells during the perinatal period is an essential
247 component of immune plasticity across species²². Further studies are needed to specifically
248 explore the heterogeneity of immune cell ontogeny between tissues and the importance of this
249 layered ontogeny for the establishment of tissue immunity and overall tissue function after birth.

250

251 ***[H2] Migration and homing to tissue niches***

252 During development, immune cell trafficking is intertwined with the onset of embryonic blood
253 circulation^{34,74} and is associated with distinct trafficking routes as defined by the circulating
254 progenitor phenotype, the local tissue environment, endothelial cell properties and locally

255 expressed chemoattractants. This creates unique opportunities for immune cell progenitors to
256 colonize distinct niches in the fetal liver, BM and peripheral organs. Moreover, unique perinatal
257 features in both circulating myeloid cell numbers, as well as integrin expression, are likely to
258 interweave immune plasticity and development of tissue residency of immune cells around birth.
259 Immune cell trafficking involves the dynamic and functionally integrated expression of several
260 families of adhesion molecules prenatally and postnatally, including selectins, integrins,
261 chemokine receptors and their respective ligands⁷⁵⁻⁷⁷. Whereas immune cell trafficking is quite
262 well understood in adults, it still remains largely elusive in the fetal and early postnatal period. In
263 this context, inborn errors of immunity in the form of leukocyte adhesion deficiencies (LADs) offer
264 opportunities to decode spatiotemporally controlled immune cell trafficking and its implications
265 for perinatal immune cell plasticity.

266
267 In humans, the role of adhesion molecules during the perinatal period in guiding immune cells in
268 steady state and during infection is evident in infants with LAD-I, which is caused by loss of
269 function mutations in the β_2 integrin (*ITGB2*). Integrins are heterodimeric receptors consisting of
270 an α subunit and a β subunit, where one β subunit can combine with different α subunits and vice
271 versa, generating a large number of integrins with different ligand affinities⁷⁵. LAD-I manifests in
272 the perinatal period during the first six months of life with omphalitis (infection of the umbilical
273 cord stump) and delayed umbilical cord separation, skin ulcers, gingivitis and a high mortality rate.
274 These patients also can't form pus owing to impaired extravasation of granulocytes⁷⁸. Similarly,
275 patients with loss-of-function mutations in the integrin adaptor kindlin-3 (*FERMT3*) (LAD-III),
276 which affects integrin function in the hematopoietic system including platelets, suffer from
277 recurrent infections and defective wound healing but also from bleeding tendency already early
278 in life^{79,80}.

279
280 In addition to β_2 integrins, VLA4 (very late antigen-4, $\alpha_4\beta_1$ integrin, CD49d/CD29) and its ligand
281 VCAM1 have been implicated in the trafficking of myeloid progenitors⁸¹. This is also of relevance
282 during the perinatal period, where α_4 integrin, which forms heterodimers with both β_1 and β_7
283 integrins, is essential for myeloid progenitor seeding and maintenance in fetal liver, spleen and
284 BM⁸². Loss of β_1 integrins (*ITGB1*) on fetal hematopoietic stem and progenitor cells (HSPCs) from
285 the para-aortic splanchnopleura (including the AGM region) impairs definitive hematopoiesis in
286 mice. *Itgb1*-deficient HSPCs cannot traffic between hematopoietic organs in the fetal stage,

287 resulting in reduced immune cell differentiation⁸³. These findings indicate that individual α_4 and
288 β_1 integrins are crucial for the trafficking of some but not all subsets of myeloid progenitors,
289 suggesting some form of redundancy. This is supported by recent studies using mice deficient for
290 the integrin adaptor *Talin-1* in M ϕ progenitors. Here, a crucial role for integrins in the colonization
291 of the embryonic CNS with microglia progenitors and the postnatal colonization of the opening
292 space along CNS arteries by perivascular M ϕ was described^{84,85}. However, microglia distribution
293 was not affected by the prenatal loss of *Talin-1*, indicating that microglia distribution in CNS of the
294 fetal mouse is largely integrin independent, except in defined conditions, such as embryonic
295 cortical colonization^{85,86}. It is not yet clear whether integrins and their adaptor proteins perform
296 similar functions in tissue-resident M ϕ during human development, and respective data from
297 LAD-I or LAD-III patients has not been reported until now.

298
299 Selectins are adhesion receptors that bind to glycosylated ligands expressed on leukocytes, which
300 enables the capture and rolling of these cells along the endothelial luminal surface for
301 transmigration. Selectins are crucial for the homing of hematopoietic progenitors to the adult BM,
302 which is partly mediated by the constitutive expression of E-selectin on the sinusoidal BM
303 endothelium^{87,88}. Though, selectins seem to be less important for the trafficking of fetal M ϕ
304 progenitors in mice. This may be partly due to insufficient posttranslational glycosylation of
305 selectin ligands on cells during fetal and early postnatal development⁸⁷. Notably, in patients with
306 LAD-II who have loss-of-function mutations in the intracellular fucose transporter *SLC35C1*, birth
307 is associated with a complete loss of leukocyte rolling. Thus, impaired posttranslational
308 fucosylation of selectin ligands results in severe immunodeficiency starting early in life⁸⁹⁻⁹¹.

309 Taken together, the trafficking of immune cells and their precursors to local tissue niches during
310 fetal development requires precise temporal and spatial regulation. Although the mechanisms
311 governing these processes have not yet been fully elucidated, the effective immune cell trafficking
312 likely depends on considerable plasticity in the expression of adhesion-related molecules by
313 immune cells, their precursors, as well as local endothelial and stromal cells.

314

315 ***[H2] Imprinting in developing tissue niches***

316 Perinatal immune cell differentiation and tissue residency are guided by complex networks of
317 lineage-determining transcription factors (LDTFs). The stochastic expression of LDTFs in immune
318 cell progenitors is an essential early step in their organ colonization and probably niche

319 initiation^{92–94}. In mice, the specification of M ϕ in unique organ environments provides examples
320 of the roles of these LDTFs, including the liver sinusoids (ID3 in Kupffer cells)³⁰, the epidermis (ID2
321 in Langerhans cells)⁹⁵, the alveolar space (PPAR γ in alveolar M ϕ)⁹⁶, the CNS parenchyma (SALL1 in
322 microglia)⁹⁷ or the peritoneal cavity (GATA6 in large peritoneal M ϕ)⁹⁸. The prenatal and perinatal
323 modulation by tissue-specific factors acting synergistically with cell autonomous programmes to
324 meet the discrete topological requirements of the tissue constitutes a highly complex relay
325 providing plasticity, gating tissue residency and microanatomical adaptation to developing
326 niches⁹³. This is highlighted by the following examples (**Figure 3**).

327

328 The regulatory networks that tailor tissue niches are relatively well understood for the alveolar
329 space, where – in mice - alveolar M ϕ develop as progeny of EMP-derived fetal M ϕ progenitors⁹⁹.
330 Overall, granulocyte–macrophage colony stimulating factor (GM-CSF), produced by alveolar
331 epithelial cells type II, and transforming growth factor- β (TGF β) synergize to control expression of
332 the LDTF PPAR γ and thus drive identity in alveolar M ϕ ⁹⁶ (**Figure 3a**). Importantly, GM-CSF
333 concentrations in amniotic fluid increase during pregnancy until birth, and labor further increases
334 GM-CSF levels¹⁰⁰. Although TGF β is produced by adult alveolar M ϕ s in an autocrine manner to
335 ensure self-maintenance, the sources of TGF β during lung development are less clear and can
336 dynamically change¹⁰¹ (**Figure 3a**). Similarly, other cues, including metabolites, cytokines and
337 growth factors, might be involved in the specification of alveolar M ϕ derived from the stromal
338 and immune cells of the tissue niche. It is tempting to speculate that there is a dynamic switch in
339 identity-forming signals in the lung alveoli at birth when the lung is transitioning from being liquid-
340 filled to air-filled¹⁰². However, tissue niche cues are not only stromal in nature but also can be
341 derived from adjacent immune cell subsets. For example, neonatal neutrophil-derived 12-
342 hydroxyeicosatetraenoic acid (12-HETE) is required for the self-renewal and maintenance of
343 alveolar M ϕ during lung development and thus for perinatal resistance against influenza A virus
344 and SARS-CoV-2 infection¹⁰³.

345

346 In the mouse dermis, TGF β steers the global development of M ϕ in early life, whereas later in life
347 it predominantly regulates M ϕ adaptation to sensory nerves in this tissue^{42,104} (**Figure 3b**). The
348 precise early-postnatal sources of TGF β are not clear. By contrast, in later life, sources are well
349 defined, such as sensory neuron-derived TGF β , which is activated by integrin $\alpha_v\beta_5$ on M ϕ , enabling
350 microanatomical M ϕ –nerve adaptation in the dermis. The role of TGF β in imprinting murine M ϕ

351 residing close to neurons is supported by similar results in the intestinal muscularis externa, where
352 neuron-associated M ϕ refine the perinatal enteric nervous system via TGF β ¹⁰⁵, and by the
353 imprinting of embryonic microglia and activation of the LDTF SALL1 upon colonizing the
354 CNS¹⁰⁶(**Figure 3c**). However, TGF β also drives Langerhans cells differentiation in the epidermis by
355 inducing ID2 expression^{95,107}.

356

357 All M ϕ populations, with the possible exception of postnatal alveolar M ϕ and adenophages of the
358 salivary gland¹⁰⁸, rely on colony stimulating factor 1 receptor (CSF1R) signaling for their
359 development, differentiation and maintenance^{7,109}. CSF1R has two ligands: colony stimulating
360 factor 1 (CSF1) and IL-34, expressed by defined stromal cells^{110,111}. M ϕ populations are usually
361 CSF1-dependent during prenatal and postnatal development. By contrast, grey matter microglia
362 and Langerhans cells switch postnatally from requiring CSF1 to IL-34, which is produced shortly
363 after birth by neurons in grey matter regions in the brain and keratinocytes in the epidermis^{110,111}
364 (**Figure 3c**). Although IL-34 and CSF1 share the same receptor, they can induce different gene
365 expression programs. For example, IL-34-specific imprinting in the postnatal grey matter microglia
366 is distinct from that of CSF1-exposed white matter regions such as the cerebellum¹¹². Accordingly,
367 specific temporal expression patterns of tissue factors, such as GM-CSF, TGF β , CSF1 and IL-34, by
368 stromal, and possibly immune, niche cells guide LDTF induction and the adaptation of M ϕ to
369 tissue niches in early life. The spatial and temporal pattern of these factors thus constitutes an
370 important framework for perinatal plasticity and termination thereof.

371

372 **[H1] Changes in barrier permeability**

373 In mammals, entry of external material into the body is restricted to defined anatomical locations
374 and pathways, such as gas exchange in the lung or nutrient exchange across the intestinal barrier.
375 By contrast, it is suggested that at birth, and more so, at preterm birth, the gastrointestinal barrier
376 of humans and many other mammalian species is less tight for the transfer of macromolecules
377 and that 'gut closure' occurs postnatally, with delayed perinatal gut closure until after birth
378 potentially having beneficial roles for the newborn and the plasticity of developing immune cells.
379 Conversely, in adults, the 'leaky gut' phenomenon has been associated with disease¹¹³⁻¹¹⁵.

380

381 There are notable differences in the permeability of the intestinal epithelium between newborns
382 and adults although functional studies have so far been mostly conducted in mice¹¹⁶. The barrier

383 of the adult intestinal epithelium is formed by non-permeable tight junctions between epithelial
384 cells and a restriction of endosomal processes to the basolateral epithelial plasma membrane.
385 Exceptions are microfold (M) cells overlaying Payer's patches, which take up particulate antigens
386 and pass them to underlying DCs to promote adaptive immune responses^{117–119}. Similarly, goblet
387 cells can translocate macromolecules and hand them over to DCs in the lamina propria that
388 subsequently migrate to mesenteric lymph nodes, inducing microbiota-specific T cells^{120,121}. In
389 mice, both pathways have been described to be absent during the early postnatal period. M cells
390 only emerge at weaning in response to RANK ligand secretion by subepithelial stromal M cell
391 inducer (MCi) cells^{65,122}. Similarly, goblet cell-associated antigen passages (GAPs) in the murine
392 small intestine open at day 10 after birth, when breast milk-derived epidermal growth factor (EGF)
393 levels decrease, but close again at day 20 after birth mediated by MyD88-dependent innate
394 immune signals from the establishing microbiota¹²³. GAPs in the colon open only from day 17 after
395 birth and allow live bacteria to translocate to mesenteric lymph nodes and spleen to train the
396 immune system¹²⁴. Of note, premature opening of colonic GAPs increases the risk of late onset
397 sepsis in a murine neonatal model¹²⁵. Also, desynchronized opening of GAPs is associated with
398 long-term effects on dietary antigen tolerance¹²³.

399
400 Despite the absence of M cell and GAP-mediated macromolecule translocation during the early
401 postnatal period, increased perinatal translocation of macromolecules was observed in different
402 healthy mammalian species, including mice and rabbits, but also preterm and term human
403 infants^{117,126–130}. The human neonatal intestine has increased permeability for large molecules,
404 including immunoglobins, which decreases within the 1st week of life, an effect that is promoted
405 by breastfeeding¹¹⁷. Animal studies and limited human observations further suggest that, in
406 addition to macromolecules, maternal cells in breast milk may be able to traffic from the mother
407 to infant mucosal tissues across the permeable neonatal intestine and contribute to maternal
408 microchimerism, although this topic remains controversial (**Box 3**)^{29,118}.

409
410 Several mechanisms for increased macromolecule translocation across the gut barrier have been
411 proposed, including insufficient tight junction protein expression leading to paracellular
412 leakage^{126,129,131,132}, and active endocytosis and transcellular transport by specialized neonatal
413 enterocytes^{131–137}. Furthermore, the functional relevance of enhanced barrier permeability after
414 birth is incompletely understood. Potentially, FcRn-mediated transfer of maternal antibodies in

415 breast milk across the gut barrier to neonates may compensate for insufficient transplacental
416 immunoglobulin transport in some species^{134,135,138}, or epithelial uptake of gut luminal material
417 may help to absorb nutrients to compensate for the insufficient exocrine pancreas function at this
418 early stage^{136,139,140}. Early life intestinal epithelial macromolecular translocation might explain why
419 exposure to microbial constituents in small children, for example by growing up in a farm
420 environment, lowers the risk of allergic diseases in later life by tuning immune tolerance to
421 endotoxins and allergens, avoiding overactivity of the immune system^{141–143}. The temporary
422 increase in intestinal permeability during the perinatal period allows immune cells to come into
423 contact with potential allergens and microbial antigens. However, increased gut epithelial barrier
424 permeability has also been causally linked to necrotizing enterocolitis (NEC), a catastrophic
425 disease of preterm human neonates¹⁴⁴. Still, the pathogenesis of NEC is complex and results from
426 synergistic aberrations in, for example, perfusion and immune homeostasis¹⁴⁵.

427

428 This phenomenon of transiently increased barrier permeability during the early postnatal period
429 has only been shown directly for the gut but other inner (lung) and outer (skin) surfaces of the
430 body may be affected as well. For example, there is a marked reduction in extracellular water
431 after birth via the skin (and potentially lung), with a weight loss of up to 15% of body weight by
432 the end of the first week, which is even more pronounced in premature infants^{146,147}. It is
433 therefore proposed that the transient permeability of barrier tissues could provide an essential
434 boost for immune cell maturation, tolerance induction and the transfer of nutrients and maternal
435 factors to the newborn.

436

437 **[H1] Modulation by the microbiota**

438 Environmental factors, such as microbial metabolites, markedly influence the global and cell type-
439 specific immune status during the perinatal phase. Accordingly, perinatal exposure to antibiotics
440 in humans or colonization of mice with a maturation-restricted microbiota that does not mature
441 into an adult-like microbiota, both have marked effects on immune system development^{148,149}.
442 Hence, the microbiota is considered to be a critical factor in modulating perinatal immune cell
443 composition and plasticity. In mice, important mechanistic insights into the co-development of
444 the microbiota and the perinatal immune system have been gained by manipulating early life
445 microbiota. In humans, however, these processes are even less well understood. We discuss here

446 the role of maternal, breast-milk-derived and postnatally-acquired microbiota for perinatal
447 immune cell plasticity.

448 The available evidence suggests that the healthy mammalian fetus develops in the absence of
449 direct contact with viable microorganisms, although the 'sterile womb' paradigm has repeatedly
450 been challenged¹⁵⁰. By contrast, the mammalian fetus is exposed to bacterial metabolites and
451 constituents from the maternal microbiota through the placenta^{151,152}. In mice, transient mono-
452 colonization of the dam during pregnancy with an auxotrophic *Escherichia coli* strain significantly
453 increased the number of group 3 innate lymphoid cells (ILC3s) and F4/80⁺ CD11c⁺ mononuclear
454 cells in the offspring's intestine, which was mediated by metabolic aryl hydrocarbon receptor
455 (AhR) ligands^{153,154} (**Figure 4a**). The diet of pregnant mouse dams also dynamically modulates the
456 development of fetal lymphoid tissue inducer (LTi) cells, an ILC3 subset, by maternal diet-derived
457 vitamin A (retinol) uptake¹⁵⁵. Furthermore, release of the short chain fatty acid (SCFA) acetate by
458 the maternal microbiota promotes fetal thymic CD4⁺ T cell output and the development of FOXP3⁺
459 regulatory T (T_{reg}) cells¹⁵⁶ (**Figure 4a**). Consistently, acetate in the maternal diet reduces the risk
460 of asthma in the offspring¹⁵⁷. Recognition of bacterial formylated peptides (fMLP) by their
461 receptors FPR1 and FPR2 during gestation promotes enteric nervous system density and gut
462 motility in the offspring¹⁵⁸ (**Figure 4a**). These studies demonstrate the role of the maternal
463 microbiota and its metabolites in imprinting immune cell plasticity already before birth.

464

465 **[H2] Microbial colonization during birth**

466 Bacterial colonization of the neonate starts with rupture of the fetal membranes at birth. The
467 initial microbiota is of low complexity, with the birth mode as a major influencing factor (**Box**
468 **1**)^{159,160}. Whereas vaginal delivery leads to the transmission of maternal fecal, vaginal, oral and
469 skin bacteria, neonates delivered by cesarean section are initially colonized by skin and
470 environmental taxa of non-maternal sources¹⁶¹. During the first days after birth, facultative
471 anaerobic bacteria of the family *Enterobacteriaceae*, such as *E. coli*, bloom across species^{159,162}
472 (**Figure 4b**). In mice, the release of lipopolysaccharide (LPS) by these Gram-negative bacteria
473 dampens the epithelial immune response, stimulates the adaptive immune system and protects
474 from asthma via induction of the negative NF-κB regulator A20^{141,163,164}. Conversely, suppression
475 of *Enterobacteriaceae* in the infant microbiota by high abundance of *Bacteroides* spp. that
476 produce Toll-like receptor 4 (TLR4)-antagonistic penta-acylated LPS increases asthma risk in
477 humans¹⁴³. Members of the *Enterobacteriaceae* also synthesize the vitamin B2 intermediate

478 riboflavin, which stimulates semi-invariant $\alpha\beta$ T cell receptors on mucosal-associated invariant T
479 (MAIT) cells and increases the frequency of these immune cells¹⁶⁵ (**Figure 4b**). Also, *Bacteroides*
480 *vulgatus* and *B. fragilis* are horizontally transmitted and are early colonizers of the infant intestine
481 in humans¹⁶². In mice, *B. fragilis* was shown to produce the sphingolipid α -galactosylceramide
482 (BfaGC) in the neonatal intestine, which facilitates growth of this obligate anaerobic bacterium
483 under the aerobic conditions of the postnatal gut and increases the number of natural killer (NK)
484 T cells in the colonic mucosa¹⁶⁶ (**Figure 4b**). Although the perinatal microbiome has low
485 complexity, the included taxa have essential functions in remodelling immune cell populations,
486 especially in barrier tissues. They are likely to imprint unique, i.e. time restricted programs in
487 structural and immune cells of the intestine (in line with local perinatal plasticity).

488 **[H2] Breast feeding-promoted microbiota**

489 Breast milk bacteria comprise *Staphylococcus*, *Streptococcus*, *Acinetobacter* and *Enterobacter*
490 species, which are primarily derived from maternal areolar skin and infant oral sites in human
491 breastfeeding pairs¹⁶⁷. The materno-neonatal enteromammary system functions like a postnatal
492 extension of the placenta, transferring maternal cells and molecules to the infant. Specialized
493 areas in the intestines, so-called Peyer's patches (PPs) in both mother and infant, are central to
494 this. Indeed, the mother's PPs and certain resident microorganisms, such as *Bacteroides*
495 *acidifaciens*, are indispensable for the generation of plasma cells that provide maternal IgA to be
496 secreted into the milk, thus communicating information about the maternal microbiome to the
497 next generation via breast milk as shown in mice¹⁶⁸.

498

499 The introduction of enteric feeding, as opposed to transfer of nutrients via the placenta, has
500 marked effects on microbiota composition and, in turn, the perinatal immune system. Human
501 breast milk contains high concentrations of a large number of human milk oligosaccharides
502 (HMOs), complex carbohydrates that are non-digestible for the host and an almost exclusive
503 energy source for *Bifidobacteria*^{169,170}. The HMO-mediated 'bifidogenic' effect causes a striking
504 reduction of microbiota diversity in breast milk-fed human babies, with few strains of highly
505 abundant *Bifidobacteria* and low numbers of *Clostridiales* and *Bacteroidetes* remaining¹⁶⁰.
506 *Bifidobacteria* metabolize HMOs and produce SCFAs, of which acetate is the main SCFA produced
507 during exclusive breast feeding with potent effects on the host's immune system¹⁶⁰. For example,
508 acetate induces early B cell activation and IgA production through GPR43-induced conversion of
509 vitamin A to retinoic acid in DCs¹⁷¹ (**Figure 4b**). It also reinforces the epithelial barrier to reduce

510 toxin translocation in neonatal mice¹⁷². In addition, breast milk during early lactation contains high
511 concentrations of amino acids and proteins. Tryptophan, an aromatic amino acid, is converted by
512 *Bifidobacterium longum*, *B. breve* and *B. bifidum* into the respective aromatic lactic acid, for
513 example 3-indolelactic acid (3-ILA), in the human and murine infant gut¹⁷³. 3-ILA activates AhR
514 and the hydroxycarboxylic acid receptor 3 (HCA3)¹⁷³, exerting anti-inflammatory effects in the
515 neonatal CNS and the intestinal epithelium^{174–177} (**Figure 4b**). In the mouse intestine, AhR ligands
516 drive the development of cryptopatches and isolated lymphoid follicles and promote ILC3s and
517 their secretion of IL-22, which support epithelial barrier integrity and regeneration^{178,179}.
518 Furthermore, 3-ILA induces expression of the immunomodulatory molecule galectin-1 in CD4⁺ T
519 cells, which reduces secretion of T_H17 and T_H2 cell cytokines, which can trigger intestinal
520 inflammation in the perinatal human gut¹⁸⁰ (**Figure 4b**). Antibiotic-associated alterations of the
521 microbiota impair influenza-specific CD8⁺ T cell immunity in infant mice and humans through
522 alterations in nuclear factor interleukin 3 (NFIL3)-induced T cell programming and reduced
523 circulating inosine levels, which could be reverted by *Bifidobacteria* colonization or inosine
524 supplementation¹⁸¹. Notably, early cessation of breast feeding in humans was associated with the
525 acquisition of new bacterial taxa such as *Ruminococcus gnavus*, tryptophan synthesis, immune
526 modulation and an increased risk of asthma¹⁸².

527

528 Breast milk also contains high concentrations of lipids including free fatty acids. The fatty acid
529 linoleic acid was recently shown to be converted to 12,13-dihydroxy-9Z-octadecenoic acid (12,13-
530 DiHOME) by *B. bifidum* and *Enterococcus faecalis*¹⁸³. 12,13-DiHOME reduced the number of T_{reg}
531 cells in the lung tissue of mice and was associated with an increased risk for atopy and asthma in
532 children¹⁸³. Formula supplementation with the HMOs 2-fucosyllactose and lacto-N-neotetraose
533 reduced the fecal 12,13-DiHOME concentration in human infants, which is consistent with the
534 allergy-preventive effect of breast feeding¹⁸⁴. Lipid absorption is supported by bile acids released
535 into the gut lumen. In addition to their digestive function, bile acids stimulate specific bile acid
536 receptors, such as the farnesoid-x-receptor (FXR) or the G protein-coupled bile acid receptor
537 (GPBAR1), on M ϕ , DCs and NKT cells¹⁸⁵. Importantly, bacteria, such as *Bifidobacteria*, express
538 soluble enzymes to deconjugate bile acids and thereby alter their receptor affinity in breastfed
539 human infants^{186,187}.

540

541 All of these studies point to an essential role for the exposure of perinatal immune cells to breast-
542 milk-promoted *Bifidobacteria* and their metabolites in physiological human development.
543 Overall, the imprinting of perinatal immune cells by *Bifidobacteria* can be tolerance-inducing, as
544 well as immunosuppressive, but also can guide the adaptation of immune cells to the unique
545 features of the perinatal period, as exemplified by reinforcing T_H1 cell-driven immune responses,
546 as well as the maturation of secondary lymphoid structures along the perinatal gut. Consequently,
547 the timely and spatial orchestration of the intestinal microbiota in young infants is an integral
548 modulator of the immune system and its perinatal plasticity.

549

550 **[H2] Microbial adaptation during weaning**

551 The enteric microbiota composition changes again with the gradual uptake of solid food and
552 finally the cessation of breast feeding. In mice, the weaning period is associated with a transient
553 bloom of segmented filamentous bacteria (SFB) in the terminal ileum that induce IL-17- and IL-
554 22-producing CD4⁺ T cells, thereby promoting antimicrobial defences¹⁸⁸, but also stimulate the
555 formation of lymphoid structures and the production of IgA¹⁸⁹ (**Figure 4b**). SFBs do not exist in
556 humans. Instead, more recent results suggest that *Candida albicans* may have a T_H17 cell-
557 stimulating role in humans¹⁹⁰. Again in humans, cessation of breast feeding lowers the abundance
558 of *Bifidobacteria* and induces a bloom of *Clostridium spp.* and *Bacteroides spp.* with a stepwise
559 increase in microbial diversity and maturation¹⁹¹. Both *Clostridium spp.* and *Bacteroides spp.* have
560 long been noted to promote immune homeostasis. For example, members of *Clostridium* clusters
561 IV and XIVa induce TGFβ production in the colonic mucosa, inducing protective T_{reg} cells¹⁹² (**Figure**
562 **4b**). Likewise, the capsule carbohydrate of *Bacteroides fragilis* promotes a balanced
563 differentiation of effector CD4⁺ T cells¹⁹³. A subgroup of integrin αβ8⁺ retinoic acid receptor-
564 related orphan receptor gamma t positive (RORγt⁺) antigen presenting cells (APCs), called type IV
565 thetis cells was found to be enriched in early life intestinal mesenteric lymph nodes of mice and
566 to promote tolerance to microbial but also dietary antigens via peripherally induced FOXP3⁺ T_{reg}
567 cells^{194,195}.

568

569 The dynamic development of the neonatal microbiota starting at birth and continuing throughout
570 infancy is a major factor in tuning immune cells throughout the body (**Box 4**). Moreover, the
571 developing immune system and aberrations thereof shape the microbiota, which can potentially
572 extend back to the mother (for example, through retrograde flow of breast milk from the infant's

573 mouth to milk ducts). However, we are just beginning to understand the multiple influences of
574 the microbiota on perinatal immune cell plasticity¹⁹⁶.

575

576 **[H1] Modulation by maternal immune activation**

577 During pregnancy, the placenta allows oxygen and metabolite transfer while avoiding the spread
578 of potentially harmful pathogens from mother to fetus¹⁹⁷. However, immune reactions of the
579 pregnant mother to infection, injury or environmental stress, known as maternal immune
580 activation (MIA), can imprint fetal immune plasticity. This is associated with the release of pro-
581 inflammatory cytokines, such as IL-6, IL-17 or type I IFNs, which are transmitted from the mother
582 to the fetus through the placenta¹⁹⁸⁻²⁰⁸. MIA-induced perinatal immune adaptation in the
583 offspring may be a mechanism to guarantee a rapid response to and protection from potential
584 incoming infection from the mother. This is supported by a study in newborn infants from mothers
585 who were infected with SARS-CoV-2 during pregnancy. Here, neonates of SARS-CoV-2-infected
586 mothers showed increased numbers of NK cells, NK T cells, $\gamma\delta$ T cells ($V\delta 2^+$) and CD161⁺ CD8⁺ T
587 cells²⁰⁷. Accordingly, it has been hypothesized that these expanded populations of innate-like
588 immune cells protect newborn infants from SARS-CoV-2²⁰⁸.

589

590 However, depending on its timing and severity, MIA has been connected to the onset of
591 neurodevelopmental disorders as well as cardiovascular, metabolic, allergic and autoimmune
592 diseases in the offspring²⁰⁴. In mice, long-term effects of MIA on adult microglia, as well as
593 circulating immune cells and lamina propria M ϕ , have been reported^{203,205}. In contrast how MIA
594 impacts perinatal immune plasticity is less well understood. MIA-induced imprinting of fetal
595 microglia resulted in their early perinatal maturation, and reduced proliferation and synaptic
596 pruning activity during early life, which is likely associated with the development of autism-
597 spectrum disorders, and an accelerated reaction of microglia towards a second stimulus, such as
598 early life infection^{200,204}. For example, MIA-induced IL-17 production primes microglia via GPR56
599 signaling in fetal mice, with subsequent interneuron defects²⁰⁵. Fetal hematopoiesis is also
600 severely affected by MIA, with alters stem cell quiescence, expansion and differentiation in
601 distinct lineages. This most likely results from the imprinting of transient fetal HSPCs with an
602 inflammatory signature and from the subsequent expansion of lymphoid-biased progenitor
603 populations (multipotent progenitor 4 (MPP4) cells)²⁰⁶. The increased number of MPP4s persists

604 postnatally, leading to hyperresponsiveness of fetal-derived innate-like lymphocytes and a
605 hyperactivated B1 cell compartment²⁰⁶.

606

607 Taken together, these studies suggest dual effects of MIA on perinatal immune plasticity. On the
608 one hand, MIA may be beneficial by imprinting and boosting the neonatal immune system against
609 potentially harmful infections. On the other hand, it impairs the essential functions of tissue-
610 resident immune cells in guiding physiological organ development, whereby the brain is
611 particularly sensitive to these effects.

612

613 **[H1] Modulation by antigen exposure**

614 Birth represents a turning point from intrauterine protection to exposure of antigens from the
615 commensal microbiome and transiently present pathogens. In the following sections, we highlight
616 the effects of early life infection and vaccination on immune cell plasticity.

617

618 **[H2] Perinatal and early onset infections**

619 Viral infections at the beginning of life pose a particular challenge to the perinatal immune system.
620 In the history of humankind, most infants were infected with human cytomegalovirus (HCMV) in
621 the first few months of life through direct contact with HCMV-containing bodily fluids such as milk
622 and saliva. Infections often go unnoticed, yet the virus remains in the body usually in a latent state
623 for life. Thus, early postnatal CMV infection is intertwined and most likely intercepts and
624 dynamically modulates normal immune system development, for example alveolar M ϕ
625 development in the postnatal lung^{209–211}. By contrast, intrauterine infection with CMV,
626 predominantly in the first trimester, can lead to severe disease^{210,212} (**Figure 5a**). Notably, latently
627 infected mothers reactivate HCMV locally²¹³ and transmit the virus to their children in more than
628 30% of cases²¹⁴. Cytokines, such as TNF α , IFN γ and type I IFNs, generated in response to CMV
629 infection help to contain viral spread^{215,216}, whereas IL-10 production facilitates CMV replication
630 but prevents tissue damage. Relatively high levels of IL-10, combined with the reduced neonatal
631 ability to produce type I IFNs, may explain the increased and persistent viral load after infection
632 in early life, with some degree of disease tolerance compared with later HCMV infections^{217,218}
633 (**Figure 5b**). In mice, mouse (M)CMV induces a morphological, immunophenotypic and metabolic
634 transformation process in M ϕ with features of stemness, altered migration, enhanced
635 invasiveness and provision of the cell cycle machinery for viral proliferation²¹⁹ (**Figure 5b**). The

636 reprogramming of alveolar M ϕ , which are the primary targets of MCMV in pulmonary infection,
637 alters lung physiology and facilitates secondary bacterial infection by attenuating the
638 inflammatory response. The MCMV-induced perturbation of M ϕ identity beyond established
639 limits of plasticity enables viral spread and impairs innate immunity in lung tissue²¹⁹. However,
640 the lasting impact of these processes on neonatal immune development is unclear.

641

642 A prominent bacterial pathogen of the perinatal period is group B *Streptococcus* (GBS). It is both
643 a normal intestinal colonizer in neonates (present in 20% of infants) and a primary cause of
644 neonatal sepsis and meningitis (in less than 1% of GBS-colonized newborns)²²⁰. Neonatal GBS
645 colonization is a dynamic process shaped by interactions between the microorganism and both
646 hosts, the mother and the infant. Few neonates develop invasive GBS disease, which may be
647 interpreted as a failure of the commensal colonization process. Many countries have reported
648 reduced cases of early-onset sepsis (occurring in the first week of life) after introducing
649 intrapartum intravenous antibiotics to women at risk of GBS transmission²²¹. However, the
650 incidence of late neonatal sepsis has remained unaffected or even increased^{222–224}. Our
651 understanding of the transmission and risk factors for late sepsis is still incomplete. In healthy
652 infants, mother-to-infant transmission of GBS can continue for weeks after delivery²²⁵. Thus, it is
653 conceivable that early distortions of the host–commensal relationship, resulting for example from
654 perinatal antibiotic exposure and an altered infant gut microbiota (**Box 1**), facilitates late GBS
655 sepsis^{220,226}. Moreover, neonatal host factors, including mucosal and systemic immunity are likely
656 to influence colonization. Notably, GBS can cross the intestinal barrier through M cells in Peyer’s
657 patches, which is driven by perinatal estradiol and progesterone²²⁷. In addition, the antimicrobial
658 competence of lamina propria M ϕ (for example, their ability to produce oxygen radicals) and
659 maternal factors (such as immunologically active components in breast milk) may affect
660 colonization by intestinal pathogens^{228,229}. Thus, it is tempting to speculate that the window of
661 plasticity during which GBS or other persistently colonizing pathogens can be safely integrated
662 into the infant’s microbiome is very small. It may no longer be open when infants are exposed to
663 GBS at a later time point, for example owing to initial suppression of maternal transfer by
664 antibiotics. This concept is supported by the narrow time frame during which rare parallel cases
665 of sepsis occur in multiple births²³⁰.

666

667 **[H2] Early life vaccination**

668 Several vaccinations are given directly to infants until three months of age, and to pregnant
669 mothers for protection against early-life infections (such as, pertussis, respiratory syncytial virus
670 and rotavirus) or to reduce the life-time infection risk (for example hepatitis B vaccine)²³¹. These
671 vaccinations may induce forms of immune cell plasticity after birth that would not occur naturally.
672 A widely studied example of vaccination-induced neonatal immune plasticity relates to the live-
673 attenuated *Mycobacterium bovis* Bacillus Calmette-Guérin (BCG) vaccine, which is administered
674 typically in the first days until 6 weeks of life in many countries worldwide. BCG is exceptionally
675 safe (in otherwise healthy children) and is effective against disseminated *Mycobacterium*
676 *tuberculosis* infection²³². However, the efficacy of BCG vaccination decreases with age at time of
677 vaccination and children vaccinated after five years of age no longer benefit from this vaccine²³³.
678 In addition, neonatal BCG vaccination has positive off-target effects in protecting against non-
679 mycobacterial infections. This effect has been linked to the induction of ‘trained immunity’, which
680 describes an altered long-term response of innate immune cells to subsequent immune stimuli
681 and infection after a prior acute immune challenge. Trained immunity is mediated by epigenetic
682 and metabolic rewiring of innate immune cells and seems to be not only associated to BCG, but
683 also to other live-attenuated vaccines or microbial components such as β -glucan²³⁴ (**Box 5**).

684
685 Normally, vaccine design and efficient vaccination responses are connected to efficient T_H1 cell
686 responses. However, early-life vaccines must be highly adapted to the dynamically developing
687 perinatal immune system. Perinatally, T_H1 cell responses to vaccines are often limited, owing to
688 the restricted capacity of DCs to produce T_H1 cell-directing cytokines. Neonatal DCs potently
689 produce IL-6 or IL-23, mostly driving T_H17 cell differentiation and T follicular helper cell
690 development, whereas IL-12-producing DCs that drive T_H1 cell differentiation are typically
691 absent⁶⁴. Furthermore, germinal center responses and plasma cell formation in response to
692 vaccines are limited in neonates²³⁵. Neonatal BCG vaccination induces a profound T_H1 cell
693 response, in part by redirecting the cytokine response of infected²³⁶. This effect changes a few
694 months after birth, most likely owing to the rapidly changing perinatal DC compartment^{237,238}.
695 Importantly, the T_H1 cell response after neonatal BCG vaccination has been directly linked to an
696 improved T_H1 cell response to other vaccines several months after birth^{239,240}. This temporal
697 tuning of T cell responses provides further evidence for the plasticity of the neonatal immune
698 system, in terms of the ability to switch from T_H2 cell-biased immunity towards a T_H1 cell-driven
699 immune response. In addition, the modulation of immune cell plasticity by the neonatal

700 microbiome, especially the presence of *Bifidobacteria*, is essential for efficient vaccine
701 responses²⁴¹, as shown by antibiotic-induced gut dysbiosis, which reduced the efficiency of early
702 life vaccines, including BCG, and pneumococcal and meningococcal conjugate vaccines²⁴².

703

704 Understanding the plasticity of early-life immunity is important for the design of
705 immunomodulators and adjuvants for these vaccines. Commonly used adjuvants, such as alum,
706 can overcome some of the limitations of early-life humoral immunity, which is characterized by
707 reduced antibody production, affinity maturation and germinal center formation²⁴³. Alum is a
708 strong inflammasome activator and is sufficient to induce memory B cell formation and affinity
709 maturation in neonates. However, the germinal center response and plasma cell production
710 remain insufficient. Newer adjuvants of early-life vaccines include the development of Toll-like
711 receptor 7 (TLR7) and TLR8 agonists, which potently induce B cell proliferation, antibody
712 production and class switching. Furthermore, these agonists efficiently induce T_H1 cell-biased
713 immune responses and T follicular helper cell formation upon vaccination^{244,245}.

714

715 The plasticity of the perinatal immune system makes it difficult to design vaccines to guarantee
716 long-term protection. Several strategies have been developed to overcome the perinatal bias
717 towards an immune tolerogenic environment, facilitating a long-lasting imprint on the immune
718 system and thus the modulation of responses towards other vaccinations and infections. Here,
719 paradigms such as trained immunity induced by neonatal BCG vaccination have been discussed
720 as an efficient way to ‘teach’ the perinatal immune system how to handle harmful infections (**Box**
721 **5**). Despite these promising concepts, vaccination in the neonatal period is challenging as it needs
722 to induce vaccine-directed immunity but still allow for the induction of immune tolerance towards
723 commensal microbiota and food antigens.

724

725 **[H1] Conclusions and future perspectives**

726 Perinatal immune plasticity in barrier tissues and beyond involves diverse, yet in part
727 complementary mechanisms ranging from cell-autonomous programs in fetal immune cells to
728 tissue-dependent and context-dependent variability of barrier permeability, modulation by the
729 microbiota, effects of the maternal immune system and modulation by antigen exposure in the
730 form of infection or vaccination in early life. The perinatal period can be seen as an opportunity
731 to induce layered immune cell plasticity, which can be highly specific to individual tissue niches.

732 This perinatal window closes over time with the development of a diverse microbiota and adult
733 tissue structures.

734

735 First therapeutic paradigms based on this model of perinatal immune plasticity are emerging. For
736 example, a recent study showed that malnutrition of pregnant mice induces intestinal
737 inflammation in the offspring. This was reversed by administration of the alarmin S100A8/A9,
738 which is usually contained in high concentrations in breast milk²⁴⁶. Furthermore, specific
739 immunometabolic therapeutic targets are emerging from first studies dissecting the crossroads
740 of metabolic and immune development in early life. However, the studies focusing on perinatal
741 metabolic rewiring of immune cells are limited and further work is needed to identify the defined
742 targets, as well as the potential and risks of trained immunity at this life stage^{10,247}. Designing and
743 introducing complex synthetic microbiome constituents may improve infant growth and
744 introduce colonization resistance against pathogens in phases of instability of the individual
745 microbiota. For a better understanding the latter, we need to study in more detail the effects of
746 relatively common interventions that can occur before birth, such as the use of antibiotics and
747 steroids by the mother^{248,249}. Overall, in order to harness this knowledge for therapeutic
748 remodelling of the perinatal immune system, the patchwork of perinatal plasticity mechanisms
749 needs to be scrutinized in more detail, especially in humans many mechanistic insights are still
750 elusive. Identifying the underlying signaling machineries of perinatal immune plasticity will further
751 help to at least partially rewire any adverse immune fixation that might occur in organ stress and
752 disease during adult life.

753

754 **Box 1 | Effects of birth interventions on perinatal immunity**

755 Advances in medical care for mothers and their unborn and newborn children tackle three major
756 obstacles: 1) to reduce the risk for mother and child during labor by medically indicated caesarian
757 section; 2) to lower the risk for severe perinatal and postnatal infections by antibiotic treatment;
758 and 3) to avoid exposure to maternal contaminated breast milk or malnutrition by formula
759 feeding. These interventions have improved the overall safety of mother and child during the birth
760 period but may interfere with the dynamic adaptation of the immune system.

761

762 In most European countries and the USA, 25–50% of children are delivered by caesarian
763 section^{250,251}. Several studies have reported an association between caesarian sections and the
764 development of allergies and higher risk for autoimmune disease in offspring, mostly affecting
765 mucosal immunity. Caesarian section was shown to induce short-term changes in immune cell
766 numbers across myeloid and lymphoid lineages and cytokine responses²⁵², which may impair the
767 induction of perinatal immune tolerance and therefore increase the risk of developing an allergy
768 or autoimmune disease. Vaginal birth is the ultimate priming event to prepare and activate the
769 immune system for extrauterine life, associated with transfer of the maternal vaginal microbiota
770 to the newborn as the first perinatal microbiota^{253,254}. Non-vaginal delivery can result in gut
771 dysbiosis and has been linked with the onset of food allergies and altered postnatal adaptation of
772 mucosal immunity^{252,254}.

773

774 Similarly, perinatal antibiotic treatment, which is an essential intervention for example to avoid
775 neonatal infection with group B *Streptococcus*, might disrupt proper establishment of the
776 neonatal microbiota. This has consequences for tolerance induction and perinatal immune cell
777 plasticity, including the exchange and turnover of immune cells in tissues, for example in gut and
778 dermis. Finally, formula feeding alters commensal colonization and deprives the embryo of
779 essential immunoprotective components delivered via breast milk, including maternal antibodies
780 and immune cells. Hence, medical interventions around birth need to be extensively explored for
781 their effects on immune cell adaptation in this crucial time period and the long-term
782 consequences thereof.

783

784 **Box 2 | Granulopoiesis and neutrophils in fetal and newborn mouse and human**

785 In humans and mice, granulopoiesis undergoes profound developmental changes during fetal and
786 neonatal life, reflecting the unique immunological requirements associated with the transition
787 from the sterile intrauterine environment to the microbe-rich extrauterine world²⁵⁵. During fetal
788 development, hematopoiesis shifts through several anatomical locations and differs
789 fundamentally from adult bone marrow-resident hematopoiesis, including granulopoiesis²⁵⁶.
790 However, substantial differences in fetal granulopoiesis also exist between humans and mice. In
791 mice, primitive hematopoiesis begins in the yolk sac, where EMPs emerge around E8.5 and give
792 rise to early myeloid cells, including neutrophils. Definitive HSCs subsequently arise from the AGM
793 region at approximately E10 and later colonize the fetal liver, which serves as the principal

794 hematopoietic organ during fetal life²⁵⁷. In humans, the corresponding developmental program is
795 extended across gestation. Fetal liver hematopoiesis predominates during mid-gestation,
796 whereas the bone marrow becomes progressively more important and emerges as the dominant
797 hematopoietic site at approximately GW20²⁵⁸. Accordingly, at birth, most circulating human
798 neutrophils originate from the bone marrow²⁵⁹. In contrast, circulating neutrophils in mice are
799 generated almost exclusively in the fetal liver following a marked expansion and accumulation of
800 neutrophils within this organ²⁶⁰. This expansion is accompanied by a developmental transition in
801 cellular origin. Whereas early fetal neutrophils in mice are predominantly derived from EMPs,
802 most neutrophils generated near term originate from HSC-derived progenitors. Interestingly, this
803 shift in ontogeny is associated with functional changes. Intravital microscopy studies of inflamed
804 yolk sac vessels demonstrated that circulating neutrophils did not interact with the vessel wall at
805 E13, but exhibited modest rolling and adhesion by E18²⁶¹. Notably, studies using human cord
806 blood-derived neutrophils from extremely premature to term infants in an *in vitro* flow chamber
807 system revealed a similar pattern, with a pronounced ontogenetically regulated increase in
808 neutrophil rolling and adhesion throughout gestation²⁶². Subsequent studies demonstrated that
809 the reduced recruitment capacity of human cord blood neutrophils and fetal mouse neutrophils
810 is linked to increased expression of the anti-inflammatory regulator A20. This phenotype is
811 accompanied by suppression of canonical NF- κ B signaling and activation of the non-canonical
812 RelB-dependent NF- κ B pathway induced by lymphotoxin- α signaling through binding to
813 neutrophil-expressed tumor necrosis factor receptor 2 (TNFR2)²⁶³. Additional neutrophil effector
814 functions, including reactive oxygen species (ROS) production, degranulation, neutrophil
815 extracellular trap (NET) formation, and NLRP3 inflammasome activation, have likewise been
816 shown to be reduced in both humans and mice^{264,265}.

817 With respect to neutrophil numbers, dramatic changes in neutrophil dynamics occur around birth
818 in both species. In newborn mice, neutrophils rapidly exit the fetal liver and enter the circulation,
819 resulting in a transient increase in blood neutrophil counts known as the postnatal neutrophil
820 surge²⁶⁰. Similarly, in humans, neutrophil counts rise substantially within the first 6–12 hours after
821 birth before gradually declining over the subsequent three days. Because this increase occurs in
822 the absence of a corresponding rise in immature neutrophils (i.e., without a left shift), it has been
823 proposed that the postnatal increase primarily reflects mobilization of pre-existing mature
824 neutrophils rather than *de novo* granulopoiesis.

825 In summary, although fetal granulopoiesis differs substantially between humans and mice with
826 respect to developmental timing, anatomical sites, and cellular origins, neutrophil abundance and
827 function during the perinatal period follow remarkably similar developmental trajectories. These
828 conserved patterns likely facilitate a smooth and successful transition from fetal to postnatal life
829 in both species.

830 **Box 3 | Microchimerism as an additional layer of immune cell plasticity**

831 Microchimerism describes the vertical transfer of cells from the mother to the offspring (maternal
832 microchimerism (MMc)) via breast milk or placenta, or, *vice versa*, cells passed from the fetus
833 through the placenta to the mother (fetal microchimerism (FMc))²⁶⁶. In both cases, transferred
834 cells may persist for decades^{267,268}. MMc is maintained across infancy, although with large
835 variation in the number and nature of cells, which are influenced by both maternal and infant
836 factors. For example, human leukocyte antigen (HLA) compatibility, infant female sex and
837 exclusive breastfeeding are correlate positively with MMc in otherwise healthy infants^{269,270}. MMc
838 via breast milk has been shown for B cells, T cells and M ϕ ^{271–273}. Although the function of MMc is
839 not yet fully resolved, bridging the immaturity of the infant's immune system and its unique
840 adaptation of immune cells by providing matured immune cells as protection against infections
841 in early life might be an interesting future therapeutic and even prophylactic concept^{274–276}. Higher
842 levels of MMc at birth were associated with an improved CD4⁺ T cell response to BCG vaccine at
843 7 weeks of life, indicating that MMc levels may subsequently affect infant T cell responses²⁷⁰.
844 Hence, MMc offers the infant's immune system another layer of plasticity to quickly adjust to
845 incoming infections. In mice, MMc was shown to promote fetal immune development towards
846 the myeloid lineage. Here, MMc induces preferential differentiation of hematopoietic stem cells
847 towards monocytes in the fetal bone marrow²⁷⁷. In addition, neonatal mice with higher levels of
848 MMc and monocytes had increased resilience against cytomegalovirus infection²⁷⁷. Similarly,
849 higher levels of MMc in human cord blood were linked to a lower number of respiratory infections
850 during the first year of life²⁷⁷. In mice and humans, MMc supports the induction of perinatal
851 tolerance against non-inherited maternal antigens (NIMAs)²⁷⁸. Efficient NIMA-induced tolerance
852 is plastic and the higher ratio of NIMA-specific regulatory versus effector T cells at birth depends
853 on MMc levels. Of note, tolerance to NIMAs can be maintained across postnatal development by
854 exclusive breastfeeding. This was recently connected to the transfer of maternal CD11c⁺LyzM⁺
855 antigen-presenting cells^{278,279}. MMc is a key factor driving tissue-resident immune cell plasticity in

856 the offspring, as recently demonstrated for fetal and perinatal development of microglia²⁸⁰.
857 However, MMc could also have negative implications; for example, it has been found to be
858 increased in patients with type 1 diabetes, both in the blood and in the pancreas²⁸¹.

859

860 **Box 4 | Gut–brain axis in early life**

861 Important recent evidence in mice directly links the neonatal microbiota and thus the intestinal
862 host–pathogen interface to neurological development. Effective maturation of microglia within
863 the central nervous system (CNS) parenchyma and of other tissue-resident M ϕ populations at the
864 CNS interfaces has been linked to commensal-derived metabolites in mice^{282–284}. Microbiota-
865 derived short-chain fatty acids (SCFAs) induce epigenetic changes in microglia, resulting in
866 metabolic adaptation and functional changes (for example, phagocytic capacity) that are
867 important for essential neurodevelopmental functions such as synaptic pruning or the engulfment
868 of neurons. This imprinting of microglia was only seen after birth and not prenatally, and occurred
869 in a sex-specific manner⁴⁹. It remains to be defined whether the commensal-derived SCFAs
870 directly affect the maturation of resident immune cells in the CNS or whether these are secondary
871 effects. The gut–brain axis in early life is also increasingly recognised as a key player of immune
872 plasticity in humans. Parallel profiling of the gut microbiota and immunological and
873 neurophysiological parameters in extremely premature infants showed that suppression of
874 electrocortical activity in infants with severe brain damage was associated with immunological
875 alterations, such as increased $\gamma\delta$ T cells and increased secretion of vascular endothelial growth
876 factor by T cells, accompanied by overgrowth of *Klebsiella* spp. in the gut²⁸⁵. Similarly, in a large
877 study of preterm children, MRI features of encephalopathy of prematurity were correlated with
878 alterations in the abundances of *Escherichia coli* and *Klebsiella* spp²⁸⁶.

879

880 **Box 5 | BCG vaccination: chronic infection or trained immunity?**

881 Neonatal BCG vaccination has been described to provide protection against other non-
882 mycobacterial infections, which is indicative of the induction of trained immunity. Owing to the
883 preference of mycobacteria such as *M. bovis* BCG for M ϕ as sites for long-term residency, they
884 can cause chronic, sometimes life-long, infections and can have long-term residency in almost any
885 organ. Therefore, BCG vaccination can be considered an ideal model for studying the immune
886 plasticity of newborns induced by a chronic, albeit low-virulence pathogen. BCG vaccination
887 induces a persistent transcriptional program associated with myeloid cell development and

888 function in hematopoietic stem and progenitor cells (HSPCs) in the bone marrow, leading to
889 increased granulocyte numbers²⁸⁷. In humans, epigenetic changes in HSPCs were linked to an
890 altered transcriptional program in CD14⁺ monocytes three months after BCG vaccination²⁸⁸.
891 Recently, it was shown that metabolic rewiring associated with training in human monocytes and
892 macrophages is characterized by lactylation of histone H3 at lysine residue 18 (H3K18la), mainly
893 at distal regulatory regions. Notably, it seems that mycobacteria manipulate immunity in a
894 species-specific manner; for example, *M. tuberculosis* — in contrast to BCG — suppresses
895 myelopoiesis and impairs immune training via type I IFNs²⁸⁹. Yet it remains unclear if the beneficial
896 effects of BCG are solely owing to trained immunity, as BCG survives in the bone marrow after
897 intravenous infection for more than three months²⁸⁸.

898

899 **Figure 1 | Transitioning from programmed immune development to steered**
900 **immune plasticity.**

901 Perinatal immunity is characterized by the transition and overlay of programmed immune
902 development towards immune imprinting and steering by exogenous factors. Before birth the
903 immune system is considered as mainly being programmed by defined genetic networks. In the
904 perinatal period, this is gradually replaced by the steered diversification of immune cells by
905 exogenous factors. This can include maternal factors, such as maternal microbiota transferred
906 during birth and through breast feeding, maternal microchimerism in the infant established by
907 placental transfer or the 'leaky' gastrointestinal barrier around birth, maternal antibody transfer
908 through placenta and in breast milk, and immune factors, e.g. cytokines and metabolites, induced
909 by prenatal maternal immune activation. Extrauterine challenges for the dynamically developing
910 immune system include invasive infections in early life but also environmental factors such as
911 antigens, toxins and allergens. Finally, medical interventions around the time of birth, such as
912 caesarian section, antibiotic treatment of the infant and mother, as well as vaccinations, add
913 another layer of complexity to the adaptations and adjustments that occur in perinatal immunity,
914 with potentially lifelong consequences.

915

916 **Figure 2 | Stratified ontogeny of tissue-resident macrophages during early life.**

917 After birth, tissue-resident macrophages (M ϕ) show an ontogenetic diversification within
918 different tissue niches, whereby M ϕ from different ontogenies occupy distinct anatomical niches

919 or can be mixed within them. During prenatal development in the mouse, the first source of blood
920 cells is primitive hematopoiesis in the yolk sac, which produces primitive erythrocytes and M ϕ
921 (1). This is followed by the initiation of transient definitive hematopoiesis in the yolk sac,
922 generating erythromyeloid progenitors (EMPs), which develop into macrophage progenitors and
923 colonize the fetal liver (2). EMP-derived M ϕ progenitors initially colonize different tissue niches
924 in the developing embryo. Later fetal liver EMP give rise to fetal monocytes and most likely EMP-
925 derived fetal M ϕ progenitors developing to various different M ϕ populations. Shortly after, the
926 first definitive hematopoietic stem cells (HSCs) originate in the aorto-gonado-mesonephros
927 (AGM) region and colonize the fetal liver in parallel to EMPs (3). Shortly before birth, HSCs begin
928 to transition from the fetal liver to the opening bone marrow (BM) cavity. Some tissue niches
929 maintain their fetal-derived M ϕ populations from fetal hematopoietic sources after birth, such as
930 microglia in the CNS parenchyma that originate from EMP-derived M ϕ progenitors of the yolk sac.
931 In the lung alveoli, alveolar macrophages develop from EMP-derived M ϕ progenitors of the fetal
932 liver. By contrast, other tissue niches are originally colonized prenatally by fetal-derived
933 progenitors from yolk sac and fetal liver, but subsequently replaced by circulating HSC-derived
934 monocytic progenitors after birth, such as the M ϕ of the *lamina propria* in the gut or certain
935 macrophages in the peritoneal cavity. Here, HSC-derived *lamina propria* macrophages are short-
936 lived and continuously replaced by HSC-derived monocytes.

937

938 **Figure 3 | Perinatal adaptation of tissue-resident macrophages in their tissue**
939 **niche.**

940 Perinatal adaptation of tissue-resident macrophages is determined by intrinsic genetic programs
941 dictated by lineage-determining transcription factors (LDTFs), which define organ inhabitance,
942 such as the alveolar space (PPAR γ in alveolar M ϕ) or the CNS parenchyma (SALL1 in microglia).
943 Spatiotemporally dynamic combinations and layering of tissue niche factors and LDTFs form a
944 regulatory network guiding the perinatal specification of tissue-resident macrophages cross
945 different tissue niches, as exemplified here for lung alveoli (**a**), sensory neurons in the epidermis
946 (**b**), and CNS parenchyma (**c**). This tissue specification is a layered event, where an intrinsic
947 ontogeny-related gene network maintained throughout adaptation (purple nucleus: FL-EMP-
948 derived ontogeny; blue nucleus: YS-EMP-derived ontogeny) is slowly overlaid by tissue
949 imprinting (green cell: lung alveoli imprinting, yellow cell: sensory nerve imprinting, red/orange
950 cell: CNS region imprinting), resulting in highly adapted tissue-specific M ϕ populations.

951 Abbreviations: 12-hydroxyeicosatetraenoic acid, 12-HETE; colony stimulating factor-1, CSF1 (also
952 known as M-CSF); colony stimulating factor-2, CSF2 (also known as GM-CSF); erythromyeloid
953 progenitor, EMP; fetal liver, FL; grey matter, GM; Interleukin-34, IL-34; peroxisome proliferator-
954 activated receptor γ , PPAR γ ; spalt-like transcription factor 1, SALL1; sensory nerve-associated
955 macrophages, sNAMs, transforming growth factor β , TGF- β ; white matter, WM; yolk sac, YS

956

957 **Figure 4 | Perinatal immune modulation by diet-derived microbial metabolites**
958 **and constituents.**

959 Prior to birth, the fetal organism is exposed to bioactive metabolites produced by the maternal
960 microbiota from the mother's diet, reaching the fetus via the placenta and umbilic cord (a). After
961 birth, the infants' own microbiota is established and matures continuously with major
962 compositional changes induced among others by enteral feeding with breast milk and after
963 weaning solid food (b). The changes in diet and microbiota composition expose the neonatal and
964 infant organism to a variety of microbial metabolites that have been shown to modulate the
965 number and function of specific stromal and immune cell types. Abbreviations: Aryl-hydrocarbon-
966 receptor, AhR; sphingolipid α -galactosylceramide, BfaGC; 12,13-dihydroxy-9Z-octadecenoic acid,
967 12,13-DiHOME; enteric nervous system, ENS; N-formyl-methionyl-leucyl-phenylalanin, fMLP;
968 innate lymphoid cell 3, ILC; 3-indolelactic acid, 3-ILA; isolated lymphoid follicle, ILF;
969 lipopolysaccharide, LPS; linoleic acid, LA; lymphoid tissue inducer cell, Lti cell; mucosal-associated
970 invariant T cell, MAIT cell; natural killer T cell, NKT cell; segmented filamentous bacteria, SFB; short
971 chain fatty acids, SCFA; T helper, Th

972

973 **Figure 5 | Perinatal immune adaptation to CMV infection.**

974 CMV can be transmitted intrauterine from the infected mother to the unborn fetus with fatal
975 outcomes for the pregnancy and the unborn child. Different transmission routes via the placenta
976 are discussed (a), such as viral entry via injuries in the placental barrier, and migration of CMV-
977 infected monocytes from the mother to the fetus. After birth, infected mothers can transmit the
978 virus via body fluids, such as saliva or breast milk to the newborn child. In contrast to intrauterine
979 infection, early postnatal CMV infection is intertwined and modulates immune system response
980 by balancing limitation of viral spread and tissue damaging immune responses towards the virus,
981 as shown in the lung alveoli (b). CMV infection in the lung causes the activation of various

982 cytokines including IFN-I, IFN- γ and TNF- α to limit viral spread, but these are balanced by IL-10
983 release to limit tissue damage. Postnatal CMV in mice has been found to dynamically modulate
984 alveolar macrophage identity by Zeb1 regulation; this increases migration, proliferation and
985 stemness of the normally resident alveolar macrophages. Abbreviations: cytomegalovirus, CMV;
986 Interleukin-10, IL-10; interferon- γ , IFN- γ ; type I interferon, IFN-I; tumor necrosis factor- α , TNF- α
987

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1000

1001 **Author contributions**

1002 All authors contributed equally to the preparation of this manuscript.

1003

1004 **Competing interests statement**

1005 The authors declare no competing interests.

1006

1007 **ToC blurb**

1008 Perinatal immune plasticity enables mammalian offspring to successfully navigate the transition
1009 from prenatal life through perinatal and postnatal phases. This review highlights recent advances
1010 in our understanding of perinatal immune plasticity and the factors that modulate it, with
1011 implications for neonatal health and disease.

1012

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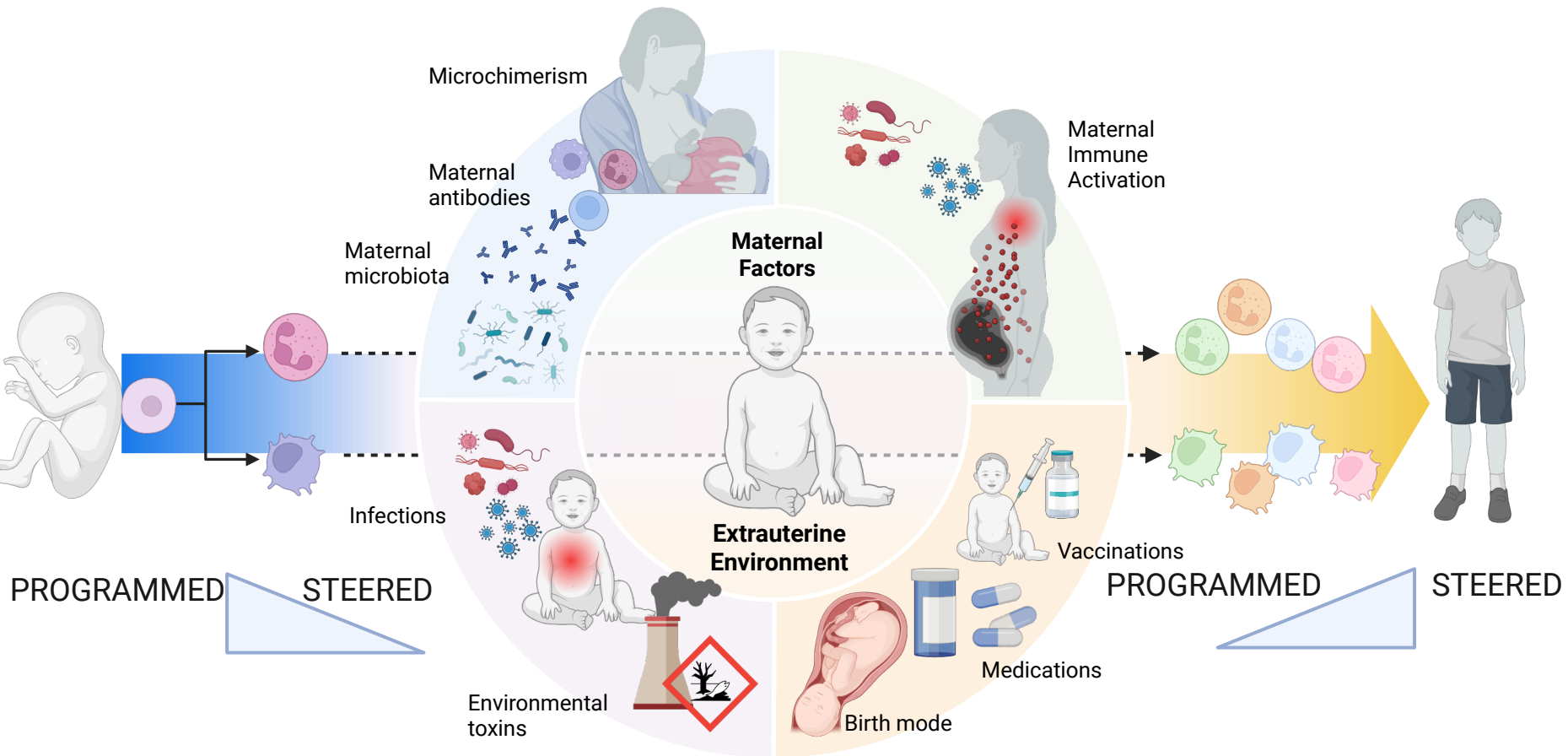


Figure 1

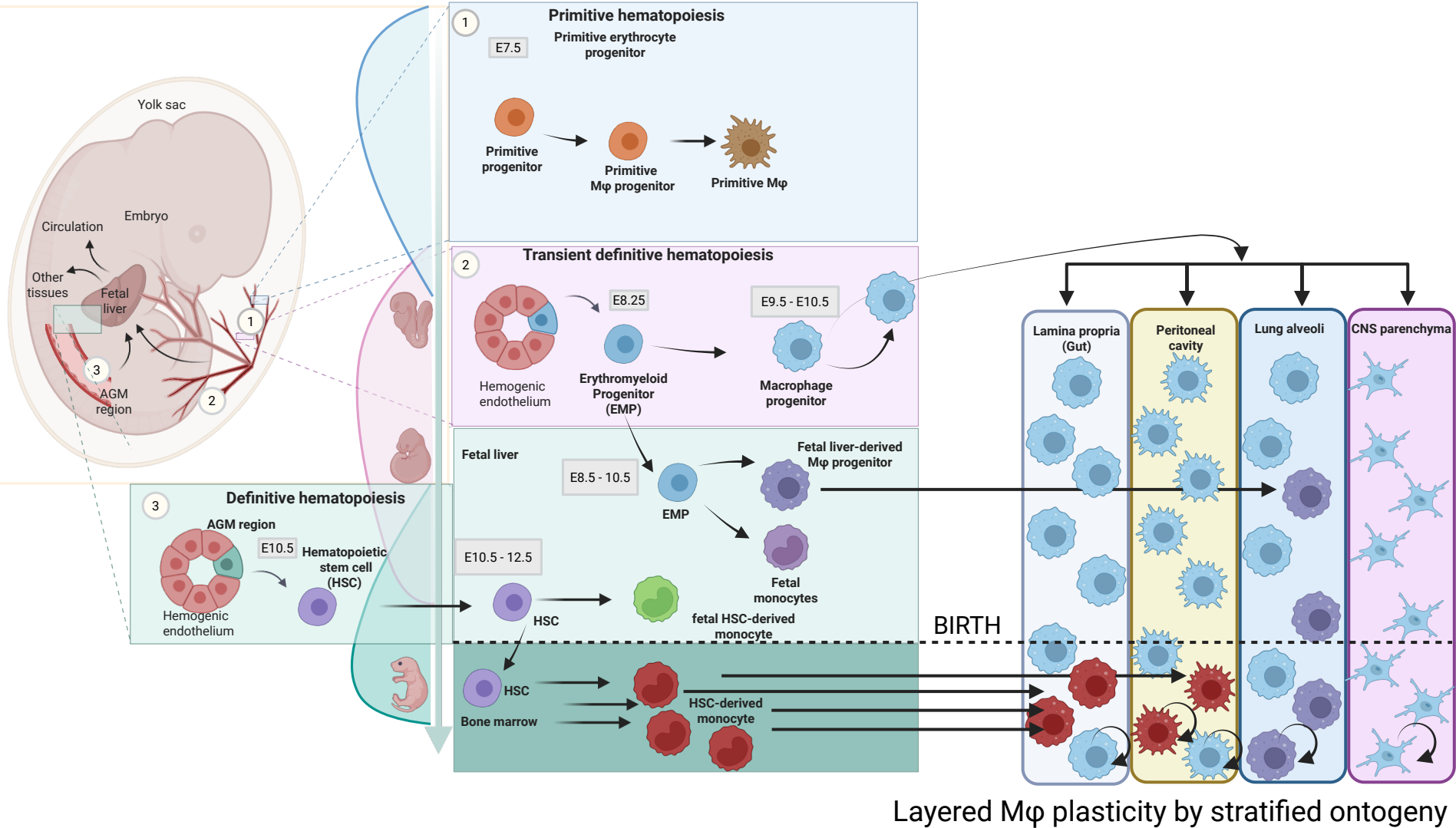


Figure 2

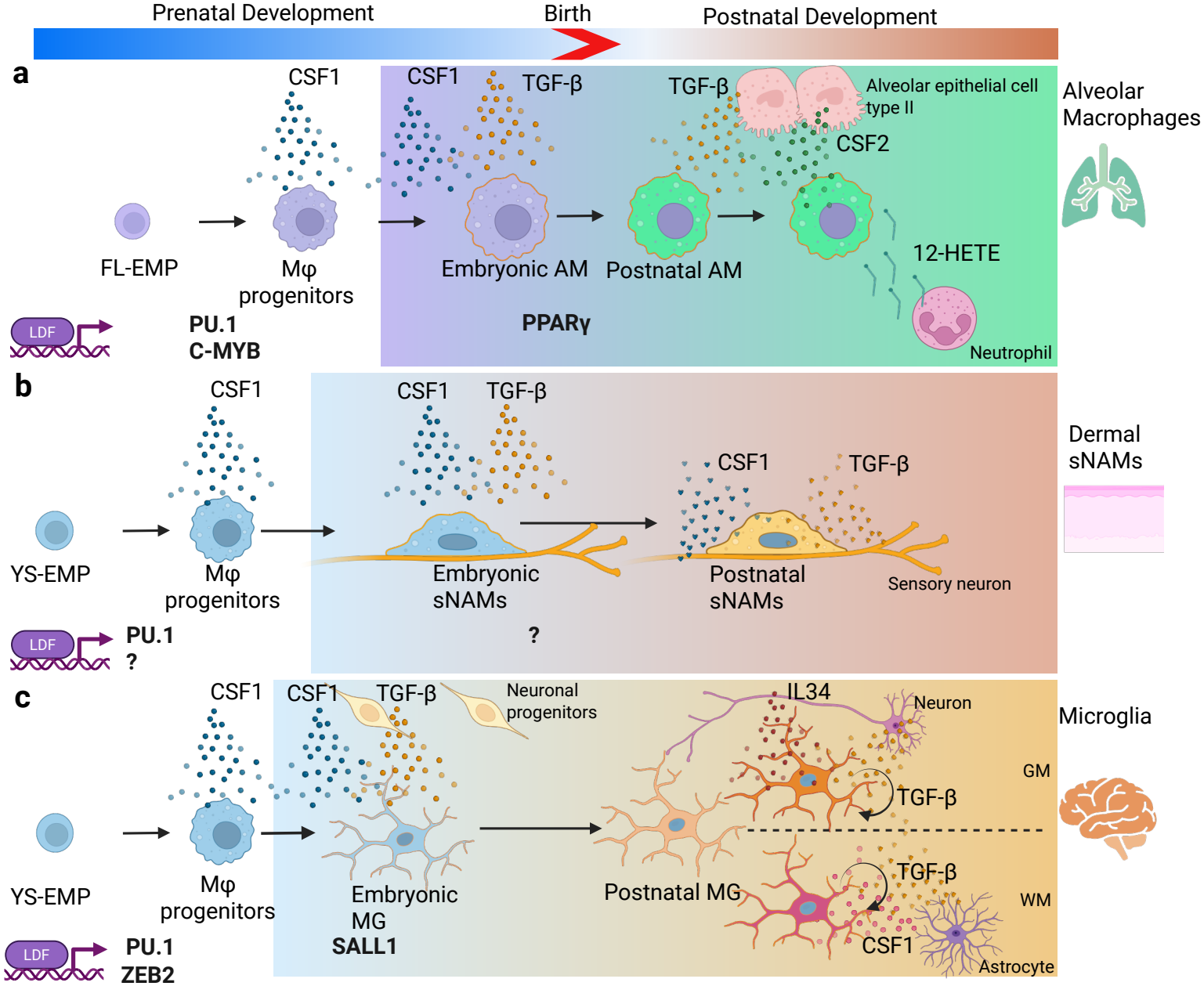


Figure 3

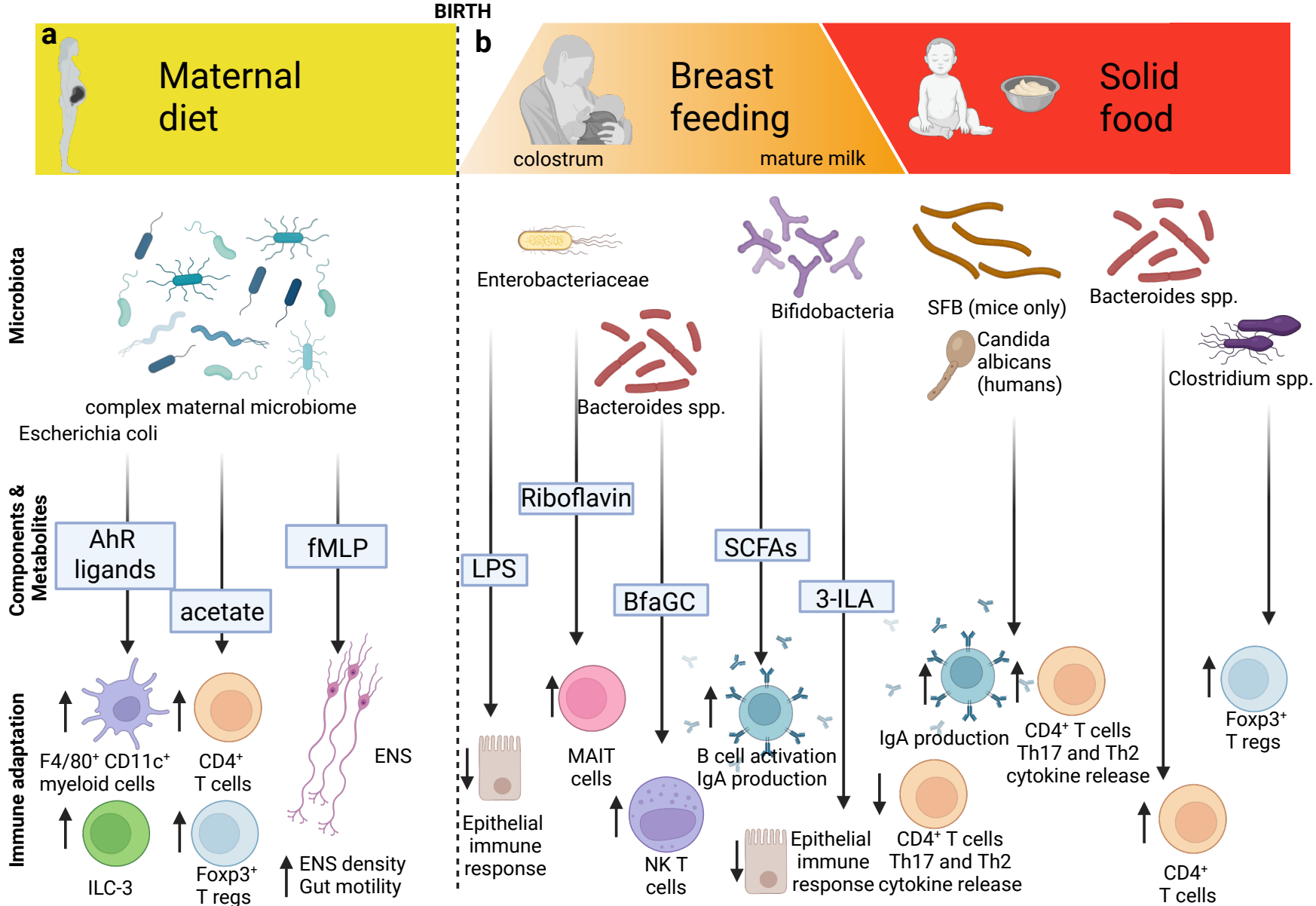


Figure 4

